

客戶資料更改表格 (個人或聯名客戶)
CHANGE OF CLIENT INFORMATION FORM (INDIVIDUAL OR JOINT ACCOUNT)

☐ 招商證券(香港)有限公司 China Merchants Securities (HK) Co., Limited
請勾選本指示適用於 Please tick the appropriate box: ☐ 證券交易服務Securities Trading Service ☐ 股票期權交易服務 Stock Option Trading Service
☐ 招商期貨(香港)有限公司 China Merchants Futures (HK) Co., Limited

(以下統稱為「本公司」 Hereinafter collectively referred to as "our Company")

賬戶號碼 Account No. :	日期Date:
賬戶名稱 Account Name :	()

(英文 English) (正楷 Block Letter) (中文 Chinese)
(請在適當空格內加上刪號並附上證明文件, 如適用)(Please tick and attach supporting documents as appropriate)

PART 1 - 更改地址 CHANGE OF ADDRESS	
<input type="checkbox"/> 更改住宅地址 Change of Residential Address	
國家或司法管轄區 Country or Jurisdiction:	
<input type="checkbox"/> 更改通訊地址 Change of Correspondence Address:	
<input type="checkbox"/> 同上 Same as above	<input type="checkbox"/> 其他(請說明) Other (Please specify)
國家或司法管轄區 Country or Jurisdiction:	

PART 2 - 更改聯絡資料 CHANGE OF CONTACT DETAILS	
<input type="checkbox"/> 更改聯絡號碼 Change of Contact No.	
手提電話 Mobile Phone No. : (此手提電話號碼將作為收取本行發出之短訊用途 The mobile phone no. will be used for the receipt of SMS released by our Company) ()	辦公室電話 Office No.: ()
<input type="checkbox"/> 登記以上註冊手提電話號碼收取雙重驗證的一次性密碼register the above mobile phone no. to receive the 2FA one-time-password	
住宅電話 Home Phone No. ()	傳真號碼 Fax No. : ()
<input type="checkbox"/> 更改電郵地址 Change of Email Address 電郵地址 Email Address: _____	
以上新電郵地址會取代任何之前已登記的電郵地址 The new email address above shall override any email address registered previously.	

PART 3 - 更改戶口結單及相關信件收取方式 Change of Account Statements and other Correspondences Collection	
<input type="checkbox"/> 登記電子結單服務, 戶口結單及相關信件電郵至電郵地址 (電郵地址同上 Same as the Email Address above) By electronic statement: Account statements and other correspondences will be emailed to registered E-mail Address.	<input type="checkbox"/> 不登記電子結單服務, 戶口結單及相關信件郵寄至通訊地址 By mail to the correspondence address for both account statements and other correspondences.

PART 4 - 更改僱主資料 Change of Employment Details	
公司名稱 Name of Employer : _____	
入職日期 Date of Employment : _____ 日 day / _____ 月 month / _____ 年 year	
職業 Occupation : _____ 職位 Job Title : _____	
地址 Address of Employment : _____	

PART 5 - 更改指定銀行賬戶資料 Change of Designated Bank Account			
*請提供銀行賬戶證明文件 Please provide bank account supporting documents			
*本公司一般不接受第三方銀行賬戶, 只有在特殊並且合法和合理的情況下方可辦理。本公司保留所有權利拒絕辦理任何指定第三方銀行賬戶的指示。 Bank account of third party is generally not acceptable, which would only be processed under exceptional, legitimate and reasonable circumstances. Our Company reserve all rights not to process any instruction of designating a third party bank account.			
<input type="checkbox"/> 刪除所有指定銀行賬戶 Delete all Designated Bank Account			
<input type="checkbox"/> 新增以下指定銀行賬戶 Add below Designated Bank Account <input type="checkbox"/> 刪除以下指定銀行賬戶 Delete below Designated Bank Account			
Bank Name 銀行名稱:		Country or Jurisdiction of the Bank 銀行所在國家或司法管轄區:	
Account Name 賬戶名稱:			
Account No. 賬戶號碼:			
Currency貨幣:	<input type="checkbox"/> HKD <input type="checkbox"/> CNY <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> EUR <input type="checkbox"/> JPY <input type="checkbox"/> AUD <input type="checkbox"/> Multi-currency <input type="checkbox"/> Others: _____		
<input type="checkbox"/> 新增以下指定銀行Add below Designated Bank Account <input type="checkbox"/> 刪除以下指定銀行Delete below Designated Bank Account			
Bank Name 銀行名稱:		Country or Jurisdiction of the Bank 銀行所在國家或司法管轄區:	
Account Name 賬戶名稱:			

Account No. 賬戶號碼:	
Currency貨幣:	<input type="checkbox"/> HKD <input type="checkbox"/> CNY <input type="checkbox"/> USD <input type="checkbox"/> GBP <input type="checkbox"/> EUR <input type="checkbox"/> JPY <input type="checkbox"/> AUD <input type="checkbox"/> Multi-currency <input type="checkbox"/> Others:_____

PART 6 - 更改其他資料 Change of Other Information	
<div></div> <div></div>	

客戶確認 Client Confirmation		客戶經理簽名 A.E.'s Signature	
<p>本人/ 吾等謹此聲明本表格提供之所有資料信息，以及隨本表格附上之文件 (如適用) 均為真實及正確，並充份反映本人之現況及與本人/ 吾等相關。本人/ 吾等將配合貴公司須進行的客戶盡職審查工作，包括但不限於在有需要時，提供貴公司要求的額外資料或文件。</p> <p>I/We hereby declare that the information provided in this form, as well as the documents attached (if applicable) is/ are true and correct, up-to-date and relevant to me/ us. I/ We shall cooperate with the customer due diligence work conducted by you, included but not limited to providing additional information or documents as requested by you when necessary.</p> <p>Name 姓名 :</p> <p>Signature 簽署:</p> <p>Date 日期:</p> <div><p>(請確保簽署樣式與本公司紀錄相符 Please ensure that the signature matches the records of our Company)</p><p>S.V.</p></div>		<p>Name 姓名 :</p> <p>Signature 簽署:</p> <p>Date 日期:</p>	

重要事項：閣下需負責提供所有有關資料予本公司，否則本公司無法開立及/或維持證券/期貨交易服務予閣下。閣下需不時提供額外資料予本公司作持續證券/期貨交易服務之用途。閣下有權查閱其戶口內個人資料的使用及目的，詳情請參閱證券賬戶協議附表 IV 中招商證券(香港)有限公司或招商期貨(香港)有限公司就《個人資料(私隱)條例》發出的通知。

Important Note: It is obligatory for you to supply our Company with all such information required by this document. Failure to do so may result in our Company being unable to open and/or maintain the securities/futures trading services for you. Furthermore, it may be necessary for you to supply to our Company with additional information from time to time in connection with our Company continuation of securities/futures trading services to you. For details concerning the purpose for which such information may be used, your right (applicable to individuals only) to request access to the information, etc., please refer to the Notice from China Merchants Securities (HK) Co., Limited or China Merchants Futures (HK) Co., Limited Relating to the Personal Data (Privacy) Ordinance per Schedule IV of the Securities Account Agreement or to be made available to you upon request. 假如任何資料更改會影響閣下於美國《海外帳戶稅收合規法案》下的身份及/或稅務居民身份，請分別提供合適的美國稅局表格, 及/或自我證明表格(個人客戶)。

Please provide appropriate Form-W issued by the Internal Revenue Service, and/or Self-Certification Form (Individual) if any change in circumstances affects your status under the Foreign Account Tax Compliance Act and/or tax residence respectively.

Input By OPS	Checked By OPS
Signature and Date:	Signature and Date: